**Emma Foster**

**Contact Information:**

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**Professional Summary:**

Dynamic and results-driven Head of Compliance with 8 years of experience in the banking sector. Expertise in developing and implementing compliance programs, conducting risk assessments, and ensuring adherence to regulatory requirements. Recognized for strong leadership skills, attention to detail, and the ability to foster a culture of compliance within organizations.

**Education:**

**University of Manchester (Russell Group)**

* MSc Financial Regulation, Merit
* Graduated: 2015

**University of Leeds (Russell Group)**

* BSc Law and Business, Upper Second Class Honours
* Graduated: 2012

**Professional Experience:**

**Barclays Bank** *Head of Compliance*  
*Manchester, UK*  
*2019 - Present*  
Leading the compliance department, responsible for overseeing and managing all compliance-related activities to ensure the bank adheres to internal policies and external regulations. Developing and implementing comprehensive compliance programs and conducting regular risk assessments.

* **Key Responsibilities:**
  + Leading a team of compliance officers, providing guidance and mentorship.
  + Developing and implementing compliance policies and procedures.
  + Conducting regular compliance audits and risk assessments to identify and mitigate potential risks.
  + Ensuring compliance with AML, GDPR, and other regulatory requirements.
  + Collaborating with senior management to integrate compliance into business strategies.
  + Preparing and presenting compliance reports to the board of directors.
  + Investigating and resolving compliance issues and breaches.

**Lloyds Banking Group** *Compliance Manager*  
*Manchester, UK*  
*2015 - 2019*  
Managed compliance activities for the bank, ensuring adherence to regulatory requirements and internal policies. Conducted risk assessments, developed compliance programs, and provided training to staff.

* **Key Responsibilities:**
  + Conducted regular risk assessments and compliance audits.
  + Developed and updated compliance policies and procedures.
  + Provided training and support to staff on compliance-related matters.
  + Monitored regulatory changes and ensured the bank's compliance programs were updated accordingly.
  + Investigated compliance issues and reported findings to senior management.
  + Collaborated with various departments to ensure a cohesive approach to compliance.

**HSBC Bank** *Compliance Officer*  
*Manchester, UK*  
*2013 - 2015*  
Supported the compliance team in monitoring and enforcing compliance with regulatory requirements. Conducted due diligence, reviewed transactions, and assisted in developing compliance programs.

* **Key Responsibilities:**
  + Conducted due diligence on new and existing clients.
  + Monitored transactions for suspicious activities and potential compliance breaches.
  + Assisted in developing and implementing compliance policies and procedures.
  + Provided support and training to staff on compliance-related issues.
  + Prepared compliance reports and documentation for senior management.
  + Ensured compliance with AML and other regulatory requirements.

**Skills:**

* **Regulatory Compliance:** Extensive knowledge of regulatory requirements and best practices in the banking sector.
* **Risk Assessment:** Proficient in conducting risk assessments and developing risk mitigation strategies.
* **Policy Development:** Skilled in developing and implementing compliance policies and procedures.
* **Team Leadership:** Strong leadership skills with experience in managing and mentoring compliance teams.
* **Training and Development:** Experienced in providing compliance training and support to staff.
* **Analytical Skills:** Strong analytical abilities with a keen eye for detail.
* **Communication:** Excellent verbal and written communication skills, with the ability to present complex information clearly.

**Certifications:**

* **Certified Regulatory Compliance Manager (CRCM)**
* **International Diploma in Financial Crime Prevention (ICA)**
* **Certified Anti-Money Laundering Specialist (CAMS)**
* **Certificate in Risk Management (CIRM)**